

Kick off 24 March 2009

Evaluation of the OpenAccess.se programme
by
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The Evaluation Assignment covers

- An overall assessment of the OpenAccess.se programme covering its quality, organisation, funding process, visibility and network
- Address the achievement of the strategic objectives of the OA vision in general and the 6 objectives in specific
 - Direct results such as projects, seminars and workshops
 - Indirect results the impact on the development of the Open access publishing within Higher Education in Sweden
- Deducing the experience from the working methods and framework of this development programme with a view to coming development activities for the National Library
- A summary of the latest trends within Open Access development in Europe
- Recommendations for future Open Access initiatives and organisational structures at national level

Methology of the Evaluation

The aim of our evaluation is to gather **lessons learned**

Not granting bonuses 😊 or administer penalties ☹️

There are two evaluative aspects:

What -> objectives: strategic goals

How -> processes: critical success factors

This will be gained through:

- Self assessment by the Steering Committee and Programme Coordinator
- Questionnaire survey of a selection of important project managers and stakeholders
- Interview (face-to-face or tele-interview) with selected important Board members/stakeholders
- Mini workshop for presentation of recommendations and final findings

Documented in a final report summarizing the findings, international trends and recommendations based on the results of the mini workshop.

Results of the Evaluation

We shall meet all the requirements stated in the invitation to tender.

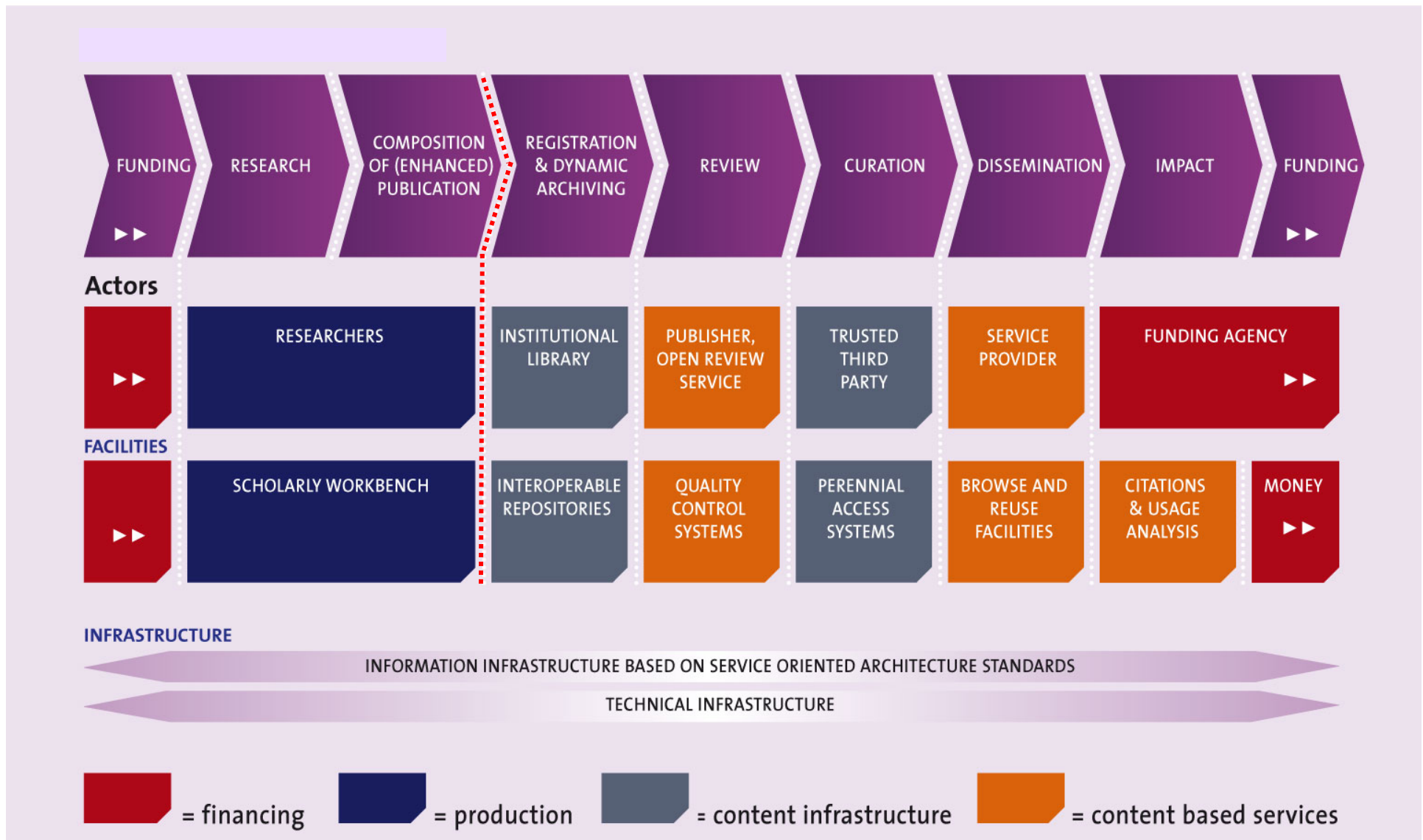
The evaluation will result in a short final report including

- Assessment of the OA programme
- Delivering a set of recommendations as basis for discussion of future efforts or initiatives at national level within the Open Access publishing in HE area.
- Giving a summary of the international/European trends within the OA development
- Having an executive summary of not more than two pages.
- The result of the evaluation will be presented as a power point presentation for a dedicated workshop.

Timetable for the Evaluation

- 15 – 16 March.....JISC conference in Amsterdam
- 24 MarchKick off meeting
- 8 AprilSending out questionnaire
- 1 – 15 MayInterviews of key stakeholders
- SeptemberSummarizing results - so far
- SeptemberInternational trends
- 19 OctoberMini workshop
- NovemberAdjustment of recommendations
- 1 DecemberFinal report

Research life cycle



Key questions to address

- Has the OA programme fulfilled the strategic goal stated for it by the partner organisations?
- What value has the OA programme added to the work of the researchers, HE institutions and involved research organisations?
- Have HE institutions defined and implemented OA policies?
- What is the evidence for 1, 2 and 3?
- Are there any general points that can be made based on the experience of OpenAccess.se?
- Is it important and feasible to form a standing working co-operation between research libraries and the (funding) bodies of the research sector to promote Open Access?
- Is there a role of National libraries in promoting Open Access?

Self assessment of the OpenAccess.se programme by the Steering Committee - 1

- **The OpenAccess.se programme**
 - Was it successful in its strategic set up?
 - Was the goals of the objectives achievable?
 - Are there any alternative goals which would have been more achievable?
 - What are the weaknesses of the programme?
 - What are the strengths of the programme?
- **The formal organisational structure**
 - Has the organisational structure been successful in general in order to meet the vision?
 - Was the membership successful?
 - Which alternative partners who could be relevant – if any?
 - What are the weaknesses of the organisational structure?
 - What are the strengths of the organisational structure?

Self assessment of the OpenAccess.se programme by the Steering Committee - 2

The internal structure - funding process

- Was the funding process successful?
- Were the funding criteria operational?
- Were the number and size of projects OK given the (limited) funding?
- How were the decisions of the Steering Committee received?
- What are the weaknesses of the funding process?
- What are the strengths of the funding process?
- Alternative possibilities for funding ?

External relations

- Relation with the Swedish OA community?
- National relations e.g. to the Ministry of Education?
- Regional relations e.g. to the Nordic countries?
- International relations e.g. to the EC, DRIVER, KE?

Self assessment of the OpenAccess.se programme by the Steering Committee - 3

Future initiatives for improve Open Access publishing and archives

- Next steps to take?
- New development programme?
- Legal Open Access deposit ?
- Who should be involved?
- Who should take the lead?
- Who should participate?
- Who should finance?

Self assessment of the OpenAccess.se programme by the Steering Committee - 4

What

Make strategic choices, determine budgets, define calls for project proposals, grant proposals, cut knots, ...

How

Meetings:

- right frequency, adequately documented, prepared members, OA standing item on the agenda, ...
- meetings itself:
 - energizing, inspiring, cheerful, ...
 - neutral, ritual, agnostic, ..
 - fatiguing, frustrating, annoying, ...
- conflicts of interest, hidden agenda's
- invited guests
- follow up: minutes (open/confidential), decisions implemented, communication with the Swedish OA community,

Objectives of OpenAccess.se

- Promote co-ordination and development of **standards and tools** for electronic publishing at Swedish universities and university colleges
- Promote a rapid **growth of the volume and diversity** of material in academic repositories
- Promote **access to and use of** content in academic repositories and Open Access journals
- Secure **long-term access** to digital publications and other material in academic repositories
- Develop **quality standards for content and services** in academic repositories
- Support publishing in **Open Access journals** and the migration of Swedish scientific journals to an Open Access model

Objective 1

Promote co-ordination and development of **standards and tools** for electronic publishing at Swedish universities and university colleges

Standards

Standards, protocols, guidelines, common rules?

Swedish or international?

Developed and/or implemented? Recommended or mandated?

Favourable to efficiency and interoperability?

Detrimental to flexibility and innovation?

Missing standards?

Tools

Technical, Managerial, Administrative, Communication?

Developed and implemented?

Open source?

Maintenance, sustainability, business case?

Objective 2

Promote a rapid **growth of the volume and diversity** of material in academic repositories

Growth

All (?) Swedish repositories are registered at [OpenDoar](#)

Are the figures analysed? (Open Access? Document types)?

Same questions for [ROAR](#)

Awareness and promotional actions?

Are there (feel good or sincere) mandates?

Diversity

Reports, (doctoral, master) theses, articles, learning materials, ...

but also

complex/compound documents like enhanced publications?

Objective 3

Promote **access to and use of** content in academic repositories and Open Access journals

Access

How? Via personal web sites, institutional or disciplinary ones, a national web site, Google Scholar, OAlster,

Are web 2.0 instruments applied?

Is access for visually impaired an issue?

Usage

Are log files and/or click streams of repositories analysed?

Is anything known about usage e.g. downloads or citations?

How is usage promoted? Via special actions like Cream of Science?

Or via dedicated portals e.g. for dissertations or educational material?

Objective 4

Secure **long-term access** to digital publications and other material
in academic repositories

Long term access

Who is responsible? Universities, National Library?

Is there a legal deposit for digital material (with a Swedish imprint)?

What is a Swedish imprint?

Is there a special (emulation or migration based) programme for long term
access?

Objective 5

Develop **quality standards for content and services** in academic repositories

Quality standards for content

???

Quality standards for services

Certification, client satisfaction surveys, traffic measurements, ...

Objective 6

Support publishing in **Open Access journals** and the migration of Swedish scientific journals to an Open Access model

Open Access journals

Swedish journal publishers: Learned societies, the Academy, Universities, other?

Were they approached? How?

How did they react? New OA journals, migrating existing ones?

Do funders play an active role?

Are there disciplinary differences?

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Organisation of OpenAccess.se

Formal structure

Programme of the National Library

Financed by SRC and SKF totaling to k€ 300-400 per year for 2006-2009

Run by a working member of the Steering Committee

Internal governance

Is the project funding process accepted? Top down or bottom up?

Are projects co-financed (in kind) by the participants?

Other programme instruments: seminars, web site, evaluation, studies, ...?

External relations

Relation with the Swedish OA community?

National relations e.g. to the Ministry of Education?

Regional relations e.g. to the Nordic countries?

International relations e.g. to the EC, DRIVER, KE?