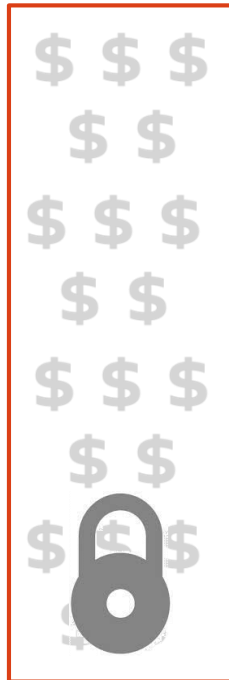


Open Access Models and Publisher Agreements in Sweden



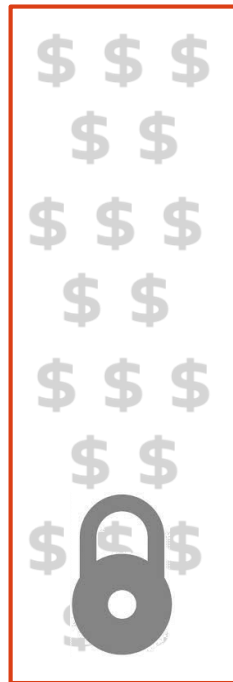
Britt-Marie Wideberg, Annica Wentzel & Camilla Smith, The National Library of Sweden
Presentation at the Joint Nordic Meeting on Open Access & Licensing, 2017-06-15

Traditional license agreements



License fee

Current license agreements: license and publishing fees

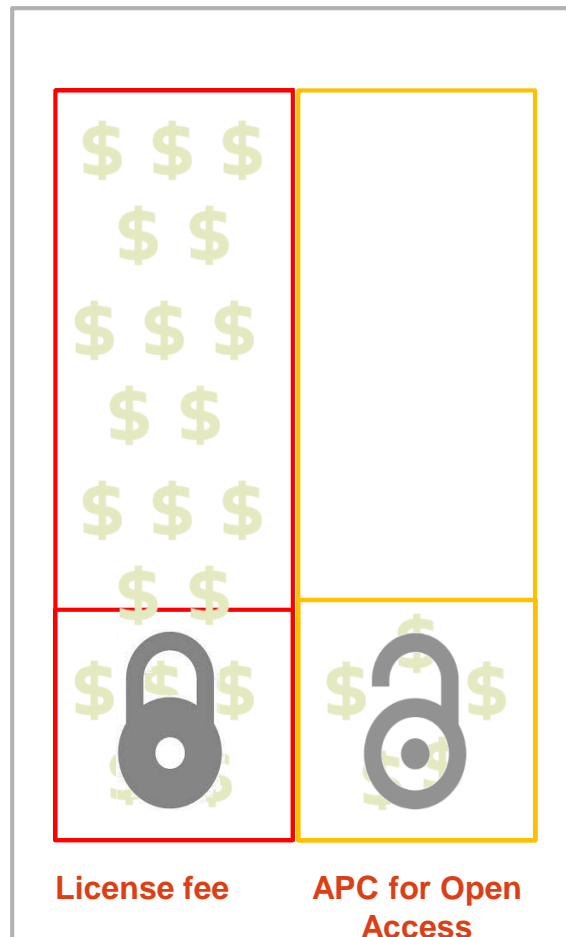


License fee



APC for Open Access

Transition from 'pay to read' to 'pay as you publish'



Open access agreements: definitions

- **Offsetting** is where institutions receive a deduction on their licence costs depending on the APCs paid in the previous year.
- **Read and Publish** is a combination of a publishing fee (main component) and an additional reading fee.
- **Pay-as-you-publish** refers to research institutions covering the costs of their publishing output only: no upfront payments, no lump sums, no guaranteed amounts and no access-based cost components (publications by corresponding authors affiliated with the research institution).
- **Open access archiving ('Green OA')** - the practice of archiving a version of an article for free public use in an institutional or subject repository (e.g. PubMed Central). Where this is done by the author the term 'self-archiving' is typically used. OA archiving is also commonly referred to as 'Green OA.'

Open access journal definitions

- **Gold-Hybrid** – peer-reviewed articles within a subscription-based journal are made immediately open access, typically on payment of a publication fee (also called an article processing charge or APC) to the publisher.
- **Gold-APC** – publication in journals that make all of their content OA via payment of a publication fee, and do not rely on subscriptions.
- **Gold no-APC** – publication in fully open-access journals which do not charge an APC.

Bibsam license negotiations & open access

In 2010 the Bibsam Consortium introduced discussions regarding open access when negotiating with publishers:

- **Author rights, self-archiving**
 - Negotiate to include green open access rights in license agreements to comply with funders' mandates
- **APC discounts**
 - Negotiate discounts on article processing charges
- **Agreements with gold open access publishers**
 - Tried with BioMedCentral and Directory of Social Science

Early attempts, not very successful, only minor steps towards open access...

New clause in publisher agreements

- New clause in all publisher agreements which makes it possible to renegotiate:



Licensor undertakes to keep Licensee informed of any alternative business models during the Term, including but not limited to models taking into account both subscription journals and Author Processing Charges for Open Access publishing in so-called hybrid journals. Should Licensee agree to switch to any such alternative business models, the parties will formalize the new business model in a separate agreement signed by both parties. In the event that the Swedish government implements an Open Access policy during the term of this Agreement, the parties will, at the request of the Licensee, renegotiate the terms of this Agreement in accordance with this policy.

- If such a clause is not accepted by the publisher, the Bibsam steering committee recommends only one-year agreements.

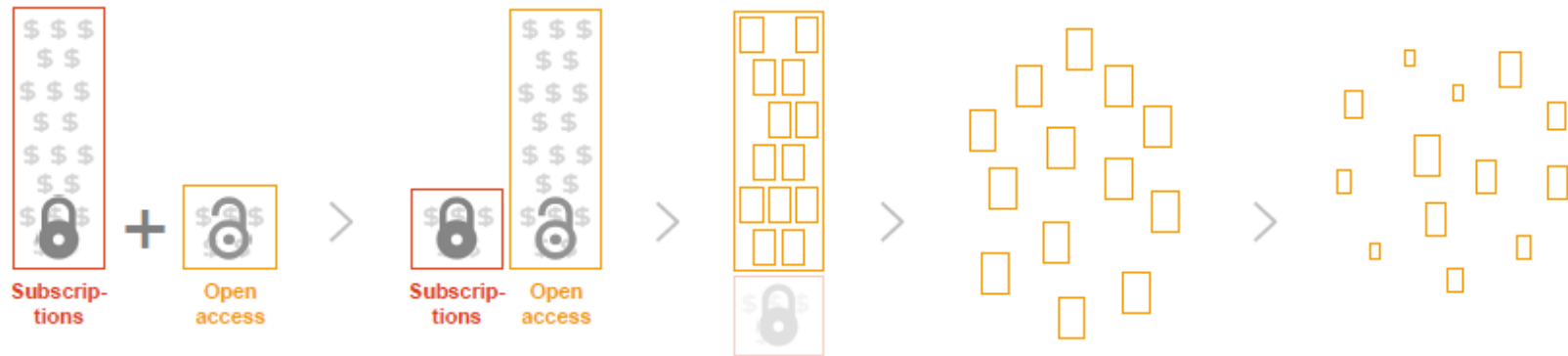
Examples of Bibsam license agreements including open access terms

Type	Publisher
Read and publish	Springer
Offsetting	Institute of Physics
Discount on APCs	IOS Press, ISPG, Karger
Vouchers	Royal Society of Chemistry
Discount on membership offering discounts on APCs	BMJ, Mary Ann Liebert

Challenges with open access agreements

- Important that offset agreements do not become **permanent solutions**. They must be seen as a path towards an openly available publishing system.
- **Collaboration** between financiers and institutions is needed in order to control financial flows.
- **Joint account and project number** for APCs in the accounts and economy systems needed (Open APC Sweden).
- **New routines for cooperation** needed in higher education institutions (e.g. finance, library, grants office).
- **Simplified and automated workflows** for offset agreements.

The road of transformation



Phase 1

How to get in

- Combine subscriptions with OA
- Combine entitlements and shift costs
- Establish OA processes & workflows

Phase 2

How to get out

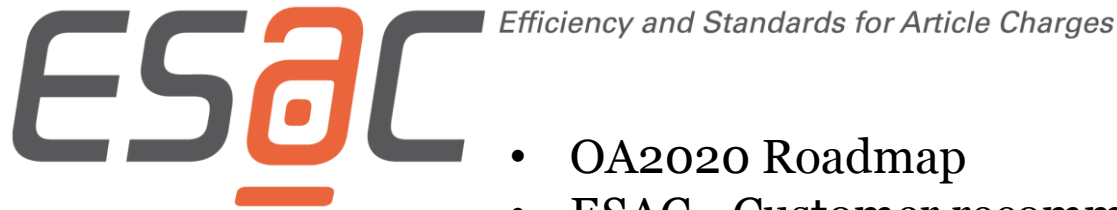
- Unbundle the individual publications
- Fade out the reading fee
- Establish differentiated APC pricing

Offsetting is a model dedicated to the transformation; it cannot be a new standard routine. Offsetting has two distinct phases; together they pave the way to an OA market situation.

WORKSHOP: Joint Principles & Checklist for Open Access Terms in Publisher Agreements for Nordic Consortia



European initiatives



- OA2020 Roadmap
- ESAC - Customer recommendations for article workflows and services for offsetting/open access transformation agreements
- ESAC - Joint Understanding of Offsetting
- JISC - Principles for Offset Agreements
- Netherlands UKB Working Group - Checklist Big Deals and Open Access clauses



Different approaches in the Nordic countries



Joint Principles



Joint Checklist



Workshop method and outcome

- Four groups with one facilitator in each group
- Workshop 30 min on Joint principles
- Workshop 30 min on Joint checklist
- Each group takes notes on a flipboard paper
- Each group assigns a person to report back on results
- Joint report back

Next step

- Principles & Checklist drafts will be updated with input from workshop participants
- Updated draft to be sent out for comments
- Final version published at <http://www.kb.se/bibliotek/centrala-avtal/Open-access/Joint-Nordic-Workshop/>